Instructor: Dr. Vinod Venkiteshwaran  
OCNR 352, Ph (361)-825-3619  
Email: vinod.venkit@tamucc.edu  
Office Hours: TTR-10:30-1:00, W 6-7PM (and by appointment)

Required Materials:

Ross, Westerfield and Jordan (RWJ): *Essentials of Corporate Finance, 7th Ed.* McGraw-Hill Irwin

Connect Finance to accompany textbook

Wharton, OTIS Student Access Kit, Prentice Hall  
http://wharton.pearsoncmg.com/otis/

Financial Calculator- Texas Instruments BA II Plus

Reliable access to the internet

Prerequisites: ACCT 2301, ACCT 2302, BUSI 0011, MATH 1325 or equivalent and Junior standing or above.

Communication: Please include, “FINA 3310.003-First Name, Last Name” in the subject line of all of your email communications with me. Emails that do not follow this protocol will NOT be answered. I will use your email id on record with the University for all communication regarding class schedule, exams, assignment due dates and any other matters pertinent to the class. Therefore, please verify that the University records reflect your preferred email of choice in order to receive timely information from me. I will not entertain any excuses on the basis of non-receipt of emails from me.

Performance Evaluation and Grading: There will be four mid-term examinations, a comprehensive final exam, and other assignments that may include homework assignments and/or projects that help the students apply the material presented in the course. Late assignments are discounted severely. The final grade in this course is determined by the instructor's evaluation of the student's progress toward the espoused instructional objectives. For this reason, it is not entirely possible to specify grade criteria. However, the following approximate weights and values will be utilized:

<table>
<thead>
<tr>
<th>Component</th>
<th>Weight</th>
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<tbody>
<tr>
<td>Tests (4 Mid terms)</td>
<td>40%</td>
</tr>
<tr>
<td>Final Exam (Comprehensive)</td>
<td>20%</td>
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<tr>
<td>Connect Finance HW</td>
<td>10%</td>
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<tr>
<td>Connect Finance Quizzes</td>
<td>10%</td>
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<tr>
<td>OTIS Stock Trading Assignment</td>
<td>10%</td>
</tr>
<tr>
<td>Financial Analysis Project (Part 1&amp;2)</td>
<td>10%</td>
</tr>
<tr>
<td>TOTAL</td>
<td>100%</td>
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A letter grade will be determined based on the percentage earned of total points possible, as follows: A: 90-100%, B: 80-89%, C: 70-79%, D: 60-69%, F: 0-59%. In some instances, a modification of these guidelines may be applied.

Exam dates will be announced in class. **No make-up exams will be administered.** If you must miss an exam, notify me in advance and provide written documentation that the absence was an excused University activity, a severe illness, or a dire emergency. Otherwise, I will assign you a zero for the exam. In-class Exams, if any, are closed book. A small index card with formulas is allowed, but the index cards are collected after the exam. To protect the integrity of the test banks employed, exams will not be returned. However, exams are available for review in my office during scheduled office hours for two weeks after the exam.

Students are responsible for all material in the assigned readings, handouts, homework, and class presentations. All assignments are to be turned in at the beginning of the class on their due date unless otherwise instructed. Late assignments are penalized 20 percentage points for each day that they are late.

**Attendance Policy**

Attendance is required. No regular class roll will be taken; However, I will randomly take roll and will impose a single Quiz/HW grade as penalty and/or upto a maximum of 5% of overall grade for excessive absences, regularly coming late to class and non-participation. Every student is responsible for their homework assignments, lecture notes, handouts, and exams. Excuses are not accepted unless a student gains prior permission for missing a class, assignment, or exam. Gaining class notes for missed classes from other students is encouraged. The student is responsible for collecting all handouts and assignments from the professor for all classes that are missed. Excessive absences may result in lower grades.

**Course Description:**

A survey of financial management issues emphasizing planning and decision making. Specific topics covered include discounted cash flow analysis, stock and bond valuation, financial intermediation, organizing, raising and managing capital, capital investment, risk analysis and financial statement analysis.

**Relationship to Other Coursework:**

Knowledge of the subject matter in this course is a requisite to comprehending advanced financial management concepts contained in FINA 4310, Advanced Financial Management as well as MGMT 4388, Administrative Policy and Strategy. The principles of financial management in a corporate environment form a foundation for concepts in related finance courses including risk management, real estate, and financial markets and institutions. Although a corporate environment is emphasized in the course, basic principles are applicable in partnerships, proprietorships, and estate management.

**Major Field Test**

The Major Field Test (MFT) is required for all students pursuing the Bachelor of Business Administration degree and will be administered in the MGMT 4388, Administrative Policy and Strategy course. To prepare for this test, business majors are advised to retain their class notes, textbooks and other relevant materials from this class and the other business core courses and to fine-tune their readiness for the MFT by completing the online MFT review available through the COB website at [www.cob.tamu.edu](http://www.cob.tamu.edu)
Learning Objectives:
By the end of this course, the students will be able to:
1. Calculate the present and future values of a lump sum and regular annuity.
2. Use the Security Market line to measure an asset’s return when given its risk, or vice versa.
3. Understand the Weighted Average Cost of Capital.
4. Calculate Net Present Value, Internal Rate of Return, and determine if an investment is acceptable.
5. Differentiate the characteristics of stocks and bonds.
6. Effectively review and prepare for the Major Field Test for business majors.

Instructional Methodology:
Lecture, class discussion, handouts, question and answer sessions, computer spreadsheet applications, reading assignments, homework problems, and/or videos.

Oral and Written Communication Content:
All major exams and the final exam are essay or multiple choice (depending on the size of the class) and generally include definition, comprehension and/or mathematical manipulation questions. All homework is likewise prose or math and may be graded and returned to the student as indicated above. As class size permits, students will be required to turn in homework problems for grading, and express themselves orally or in writing on various current topics, concepts, or projects.

Technology Applications:
Students are required to possess and have knowledge in the use of business or advance engineering calculators. Time value of money and other related financial computations are required in their usage. Text problems assigned as homework will often have a disk and spreadsheet applications.

Ethical Perspectives:
The difficulty of assigning financial values to human welfare, moral and ethical concepts in a cost-benefit or risk-return analysis is stressed. Several examples are discussed stressing these conflicts, such as the Ford Pinto cost-benefit analysis. Ethics in financial analysis is stressed not only for the consumer but for employees, government, and stockholders.

Global Perspective:
The global nature of current economic enterprises is reviewed. The concepts of exchange rate risk and governmental risk is discussed in as much detail as possible given class size and time restraints.

Demographic Diversity Perspective:
The diversity within and among this country and that of other countries is discussed as it affects numeric inputs into the financial analysis. The financial goals of a corporation and its impact on demographic diversity is reviewed.

Political Social, Legal, Regulatory, and Environmental Perspectives:
The interaction of the economic, legal system and financial goals is considered. The contrast of accounting goals and financial goals in a legal environment is presented and contrasted as to their time
dimension, discipline, and function. The interrelation of the various disciplines is considered from this integrated approach.

**Academic Honesty:**

This course, and all other courses offered by the College of Business (COB), requires all of its students to abide by the COB Student Code of Ethics (available online at [www.cob.tamucc.edu](http://www.cob.tamucc.edu)). Provisions and stipulations in the code are applicable to all students taking College of Business courses regardless of whether or not they are pursuing a degree awarded by the COB.

**Grade Appeals:**

As stated in University Rule 13.02.99.C2, Student Grade Appeals, a student who believes that he or she has not been held to appropriate academic standards as outlined in the class syllabus, equitable evaluation procedures, or appropriate grading, may appeal the final grade given in the course. The burden of proof is upon the student to demonstrate the appropriateness of the appeal. A student with a complaint about a grade is encouraged to first discuss the matter with the instructor. For complete details, including the responsibilities of the parties involved in the process and the number of days allowed for completing the steps in the process, see University Rule 13.02.99.C2, Student Grade Appeals, and University Procedure 13.02.99.C2.01, Student Grade Appeal Procedures. These documents are accessible through the University Rules Web site at [http://www.tamucc.edu/provost/university_rules/index.html](http://www.tamucc.edu/provost/university_rules/index.html). For assistance and/or guidance in the grade appeal process, students may contact the Office of Student Affairs.

**Americans with Disabilities Act Compliance**

The Americans with Disabilities Act (ADA) is a federal anti-discrimination statute that provides comprehensive civil rights protection for persons with disabilities. Among other things, this legislation requires that all students with disabilities be guaranteed a learning environment that provides for reasonable accommodation of their disabilities. If you believe you have a disability requiring an accommodation, please call or visit Disability Services at (361) 825-5816 in Driftwood 101.

If you are a returning veteran and are experiencing cognitive and/or physical access issues in the classroom or on campus, please contact the Disability Services office for assistance at (361) 825-5816.

**Tentative Schedule and important due dates**

- Week 4/5 Mid Term Exam 1
- Week 8/9 Mid Term Exam 2
- Week 11/12 Mid Term Exam 3
- Week 14/15 Mid Term Exam 4

Final Exam - As per university final exam schedule