Texas A&M University-Corpus Christi  
College of Business  
Standardized Course Syllabus  
(Revised and Approved by AFBL faculty on April 12, 2017)

Course Number: FINA 3312  
Course Name: Financial Markets and Institutions  
Semester: TBD  
Instructor: TBD  
Office: TBD  
Phone: TBD  
Email: TBD  
Office Hours: Six hours per week as posted and by appointment


Prerequisites: ECON2301, ECON2302 and Junior standing or above.

Course Description:  
Course coverage includes an analysis of financial markets and institutions; regulation, money market operations, global impact of central banking principles and monetary policy, and determinants of interest rates with financial asset pricing.

Websites Used:  
Blackboard https://bb9.tamucc.edu/

Electronic Device Usage:  
Computers or tablets are required to complete the course.

Learning Objectives:  
By the end of this course, the students will be able to:  
- Understand the role of the financial institutions and markets and their fundamentals  
- Describe the central banking system and how the latter conducts its monetary policy  
- Discuss the similarities, differences, advantages, and disadvantages of various types of financial organizations ((BBA Goal 2, Objective 1).  
- Calculate interest rate changes and their impact on financial asset pricing (BBA Goal 2, Objective 1).

Major Field Test:  
The MFT is required for all students pursuing the Bachelor of Business Administration degree. Students register for the MFT in BUSI0088, Major Field Test in Business. To prepare for this test, business majors are advised to retain their class notes, textbooks, and other relevant materials from their business core courses in the areas referenced above.
Completion of all College of Business core courses except MGMT 4388 is required. BUSI 0088 is CR/NC.

As an integral part of the College of Business’ Assurance of Learning program, the Major Field Test (MFT) is a nationally-normed, standardized multiple-choice test developed by the Educational Testing Service and administered to senior-level business students at many AACSB International accredited institutions in the United States. It is designed to measure students’ academic achievement through demonstration of their basic knowledge and understanding of key concepts, theories, and analytical methods in the functional areas of business. This test covers the areas of accounting, economics, finance, international issues, legal and social environment of business, management, marketing, quantitative business analysis, and information systems.

**Instructional Methodology:**
Lectures may be supplemented by class discussion, handouts, questions and answer sessions, computer presentations and videos.

**Performance Evaluation and Grading:**
Typically, two midterm tests and a final exam will be given.

**Grades may be assigned based on the following weights:**

<table>
<thead>
<tr>
<th>Component</th>
<th>Points</th>
</tr>
</thead>
<tbody>
<tr>
<td>2 Midterm exams (25 points each)</td>
<td>50</td>
</tr>
<tr>
<td>Final exam (comprehensive)</td>
<td>30</td>
</tr>
<tr>
<td>Homework assignments</td>
<td>10</td>
</tr>
<tr>
<td>Quizzes</td>
<td>10</td>
</tr>
<tr>
<td><strong>TOTAL POINTS</strong></td>
<td><strong>100</strong></td>
</tr>
</tbody>
</table>

A letter grade will be determined based on the percentage earned of total points possible, as follows:  A: 90-100%, B: 80-89%, C: 70-79%, D: 60-69%, F: 0-59%.

**Academic Integrity/Plagiarism**
University students are expected to conduct themselves in accordance with the highest standards of academic honesty. Academic misconduct for which a student is subject to penalty includes all forms of cheating, such as illicit possession of examinations or examination materials, falsification, forgery, complicity or plagiarism. (Plagiarism is the presentation of the work of another as one’s own work.) In this class, academic misconduct or complicity in an act of academic misconduct on an assignment or test will result in a zero the first time and failing the course for any additional offence.

**Dropping a Class**
I hope that you never find it necessary to drop this or any other class. However, events can sometimes occur that make dropping a course necessary or wise. Please consult with me before you decide to drop to be sure it is the best thing to do. Should dropping the course be the best course of action, you must initiate the process to drop the course by going to the Student Services Center and filling out a course drop form. Just stopping attendance and participation WILL NOT automatically result in your being
dropped from the class. TBD is the last day to drop a class with an automatic grade of “W” this term.

Preferred methods of scholarly citations
APA style is the only accepted method used for citations and referencing during this class. All work should be paraphrased rather than copied directly. Material used from sources other than the text should use APA style citations and references.

Classroom/professional behavior
Texas A&M University-Corpus Christi, as an academic community, requires that each individual respect the needs of others to study and learn in a peaceful atmosphere. Under Article III of the Student Code of Conduct, classroom behavior that interferes with either (a) the instructor’s ability to conduct the class or (b) the ability of other students to profit from the instructional program may be considered a breach of the peace and is subject to disciplinary sanction outlined in article VII of the Student Code of Conduct. Students engaging in unacceptable behavior may be instructed to leave the classroom. This prohibition applies to all instructional forums, including classrooms, electronic classrooms, labs, discussion groups, field trips, etc.

Grade Appeals
As stated in University Procedure 13.02.99.C2.01, Student Grade Appeal Procedures, a student who believes that he or she has not been held to appropriate academic standards as outlined in the class syllabus, equitable evaluation procedures, or appropriate grading, may appeal the final grade given in the course. The burden of proof is upon the student to demonstrate the appropriateness of the appeal. A student with a complaint about a grade is encouraged to first discuss the matter with the instructor. For complete details, including the responsibilities of the parties involved in the process and the number of days allowed for completing the steps in the process, see University Procedure 13.02.99.C2.01, Student Grade Appeal Procedures. These documents are accessible through the University Rules Web site at [http://www.tamucc.edu/provost/university_rules/index.html](http://www.tamucc.edu/provost/university_rules/index.html). For assistance and/or guidance in the grade appeal process, students may contact the Dean’s office in the college in which the course is taught or the Office of the Provost.

Disabilities Accommodations
The Americans with Disabilities Act (ADA) is a federal anti-discrimination statute that provides comprehensive civil rights protection for persons with disabilities. Among other things, this legislation requires that all students with disabilities be guaranteed a learning environment that provides for reasonable accommodation of their disabilities. If you believe you have a disability requiring an accommodation, please call or visit Disability Services at (361) 825-5816 in Corpus Christi Hall 116. If you are a returning veteran and are experiencing cognitive and/or physical access issues in the classroom or on campus, please contact the Disability Services office for assistance at (361) 825-5816.
Statement of Academic Continuity
In the event of an unforeseen adverse event, such as a major hurricane and classes could not be held on the campus of Texas A&M University–Corpus Christi; this course would continue through the use of Blackboard and/or email. In addition, the syllabus and class activities may be modified to allow continuation of the course. Ideally, University facilities (i.e., emails, web sites, and Blackboard) will be operational within two days of the closing of the physical campus. However, students need to make certain that the course instructor has a primary and a secondary means of contacting each student.

Summary of Topical Coverage:

<table>
<thead>
<tr>
<th>Topic</th>
<th>Title</th>
<th>Hours</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Overview of the Financial System</td>
<td>2.0</td>
</tr>
<tr>
<td>2</td>
<td>What Do Interest Rates Mean and What Is Their Role in Valuation</td>
<td>3.5</td>
</tr>
<tr>
<td>3</td>
<td>Why Do Interest Rates Change?</td>
<td>3.5</td>
</tr>
<tr>
<td>4</td>
<td>How Do Risk and Term Structure Affect Interest Rates?</td>
<td>4.0</td>
</tr>
<tr>
<td>5</td>
<td>Why Do Financial Institutions Exist?</td>
<td>5.0</td>
</tr>
<tr>
<td>6</td>
<td>Why Do Financial Crises Occur and Why Are They So Damaging to the Economy?</td>
<td>4.5</td>
</tr>
<tr>
<td>7</td>
<td>Central Banking and the Conduct of Monetary Policy</td>
<td>4.5</td>
</tr>
<tr>
<td>8</td>
<td>Conduct of Monetary Policy: Tools, Goals, Strategy and Tactics</td>
<td>3.5</td>
</tr>
<tr>
<td>9</td>
<td>The Money Markets</td>
<td>3.0</td>
</tr>
<tr>
<td>10</td>
<td>The Bond Market</td>
<td>3.5</td>
</tr>
<tr>
<td>11</td>
<td>The International Financial System</td>
<td>4.5</td>
</tr>
<tr>
<td>12</td>
<td>Financial Regulation</td>
<td>3.5</td>
</tr>
<tr>
<td></td>
<td><strong>Total</strong></td>
<td><strong>45.0</strong></td>
</tr>
</tbody>
</table>